



# UNIVERSIDAD AUTÓNOMA DE GUERRERO

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Facultad de Ciencias Químico Biológicas

Facultad de Ciencias de la Tierra

MAESTRÍA EN BIOCENCIAS

**“Análisis de la diversidad bacteriana y funcional de la tuba con un enfoque bioinformático”**

**T E S I S**

QUE PARA OBTENER EL GRADO DE

MAESTRO EN BIOCENCIAS

PRESENTA:

**I.B.Q. Fernando Astudillo Melgar**

DIRECTOR: **Dr. Gerardo Huerta Beristain**

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# UNIVERSIDAD AUTÓNOMA DE GUERRERO

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FACULTAD DE CIENCIAS DE LA TIERRA

**Maestría en Biociencias**

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## APROBACIÓN DE TESIS

En la ciudad de Chilpancingo, Guerrero, siendo los 11 días del mes de diciembre de dos mil diecisiete, se reunieron los miembros del Comité Tutorial designado por la Academia de Posgrado de la Maestría en Biociencias, para examinar la tesis titulada "Análisis funcional de la diversidad bacteriana de la tuba con un enfoque bioinformático", presentada por el alumno Fernando Astudillo Melgar, para obtener el Grado de Maestría en Biociencias. Después del análisis correspondiente, los miembros del comité manifiestan su aprobación de la tesis, autorizan la impresión final de la misma y aceptan que, cuando se satisfagan los requisitos señalados en el Reglamento General de Estudios de Posgrado e Investigación Vigente, se proceda a la presentación del examen de grado.

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**ANÁLISIS DE LA DIVERSIDAD BACTERIANA Y FUNCIONAL  
DE LA TUBA CON UN ENFOQUE BIOINFORMÁTICO.**

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1 **Bacterial diversity, population dynamics and functional analysis**  
2 **of commercial and laboratory fermented palm wine (Tuba).**

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14

15

16

17 **Abstract.**

18 Palm wine is obtained by fermentation of palm tree sap recollected from palm spathe cut. In  
19 the pacific coast of Mexico, palm wine is called Tuba and consumed as a traditional  
20 fermented beverage. Tuba has different empirical applications as an auxiliary in  
21 gastrointestinal diseases, vitamins and minerals sources. In the present study, a next  
22 generation 16S sequencing approach was employed to analyze bacterial diversity and  
23 population dynamics during the fermentation process of Tuba, both in laboratory controlled  
24 conditions and commercial samples from local vendors. Taxonomic identification showed  
25 that *Fructobacillus* was the main genus in all the samples, following for *Leuconostoc*,  
26 *Gluconacetobacter*, *Sphingomonas* and *Vibrio*, respectively. Alpha diversity analysis  
27 demonstrated variability between all the samples. Beta diversity grouped the bacterial  
28 population in according to the collection origin of the sample. Metabolic functional  
29 inference showed that the members of the bacterial communities may present the vitamin,  
30 antibiotic, amino acid and antioxidant biosynthesis genes. Additionally, we further  
31 investigated the correlation between the predominant genera and metabolic characteristics  
32 of this beverage. This study will provide the basis for the identification of functional  
33 characteristics and the isolation of native strains that may serve as probiotics and that allow  
34 standardization of process of Tuba production.

35 **Keywords:** Tuba, fermented beverage, bacterial diversity, functionality, massive  
36 sequencing.

37

38 **Introduction.**

39 A wide variety of fermented food products such as yogurt, alcoholic beverages, bread and  
40 sauces are produced worldwide. During the production process of these fermented foods  
41 different microorganisms contribute to the organoleptic and biochemical characteristics  
42 (Tang et al. 2017). Recent studies in fermented food have shown that microbial ecology  
43 aspects such as diversity, their spatial distribution and ecological interaction, have a strong  
44 influence on metabolic production and chemical composition (Escalante et al. 2015).  
45 Bacterial consortia interactions in fermented foods promote process of polymer degradation  
46 and production of metabolites of interest such as alcohol, aromatics, acetate, lactate among  
47 others that contribute to functional and organoleptic properties (Tamang et al. 2016).

48 Palm wine is a traditional beverage made using the sap collected from palm trees. It is  
49 consumed in different parts of the world, in Africa it is known as "legmi", in South India as  
50 "kallu", while in Borneo it has the names of "bahar" and "goribon" (Velázquez-Monreal et  
51 al., 2011). The differences among these beverages are the production process, the coconut  
52 tree species and the plant part where the sap is collected (Santiago-Urbina & Ruíz-Terán  
53 2014). In Mexico, several traditional fermented beverages are produced such as pulque  
54 (Escalante et al. 2016), pozol (Díaz-Ruíz et al. 2003) and Tuba (De la Fuente-Salcido et al.  
55 2015). Tuba was brought to Mexico by Philippine influence during the Spanish colonial  
56 period. This beverage is produced in the southern pacific coast of Mexico (Guerrero,

57 Colima, Michoacan states). It is obtained from the sap of the inflorescences of *Cocos*  
58 *nucifera* L and it is consumed as a traditional beverage empirically used as an aid in  
59 gastrointestinal problems and as a rehydration drink (Velázquez-Monreal et al. 2011; de la  
60 Fuente-Salcido et al. 2015).

61 The importance of bacteria in fermented foods has promoted the application of different  
62 strategies to analyze the bacterial diversity and role during elaboration of fermented  
63 products. The use of massive sequencing technologies together with recent bioinformatics  
64 methods, such as QIIME for diversity analysis (Navas-Molina et al. 2015; Caporaso et al.  
65 2011) and PICRUST for functional inference (Langille et al. 2013), have increased the  
66 taxonomic and functional information of uncultured bacterial communities in different  
67 ecosystems (Filippis et al. 2017). However, those methods have been used mainly in  
68 projects such as Human Microbiome and Earth Microbiome (Creer et al. 2016).  
69 Nevertheless, in the food area, the applications of them are limited. Some studies in  
70 traditional Asian liquors and sauces have established a correlation between microbial  
71 diversity and organoleptic properties, increasing the information about bacterial  
72 communities in Asian products such as Yucha (Tang et al. 2017; Zhang et al. 2016) and  
73 some Mexican traditional beverage as Pulque (Escalante et al. 2008).

74 Here, we study the fermentation profile, population dynamics and bacterial diversity of  
75 Tuba produced in the Guerrero coast of Mexico. We sampled sap that was fermented under  
76 controlled conditions and sampled commercial Tuba. Using 16S amplicon sequencing and  
77 metabolic characteristics, we were able to analyze the diversity and infer functionality of  
78 bacterial communities present in all tuba samples. This work provides a basis for the further  
79 functional characterization of Tuba in its production process, probiotic potential and other  
80 functions as antibiotic and antioxidant biosynthesis.

81

## 82 **Material and Methods.**

### 83 *Sample collection.*

84 Sap samples were collected from three visibly healthy palm trees in a rural area in  
85 Acapulco, Guerrero, Mexico. Commercial Tuba samples were obtained from four different  
86 artesian producers in diamante zone from Acapulco, Guerrero Mexico (Figure 1). The  
87 climatological conditions of the samples collection site at the sampling day are described in  
88 table 1. Samples were transported in sanitized coolers to the laboratory for fermentation and  
89 analysis. The sap from palm trees was tagged with the following code a “P” followed by  
90 the number of the palm tree and “T” which means the fermentation time (i.e. P1T0).  
91 Commercial samples were tagged using the letter L followed by a consecutive number that  
92 symbolize the number of the establishment where each sample was obtained.

93

### 94 *Fermentation in laboratory controlled conditions.*

95 Each sap sample (100 mL as working volume) was fermented in four 250 mL Erlenmeyer  
96 flasks corresponding to 0, 12, 24 and 35 hours of fermentation. They were incubated at  
97 30°C and 100 rpm of shaking speed in an orbital incubator. Samples were centrifuged  
98 (4000 rpm x 15 min) and the pellets were used for DNA extraction, while the supernatants  
99 were stored at -20°C for further analysis.

#### 100 *Metabolic composition characterization.*

#### 101 *Sucrose, glucose, fructose, water-soluble proteins, acetic acid, ethanol and pH.*

102 Sugars, organic acids and ethanol from laboratory fermented and commercial samples were  
103 quantified using two HPLC methods following column manufacturer conditions. Glucose,  
104 fructose, sucrose and xylose were quantified using an Aminex HPX-87P (Biorad) column  
105 with an IR detector. Acetate and ethanol concentrations were measured using Aminex  
106 HPX-87H (Biorad) column and a UV 210 nm detector. Water-soluble proteins were  
107 measured by Bradford method modified by Fernández & Galván, 2015. The pH was  
108 measured using a potentiometer with 1 mL of the sample.

#### 109 *16S amplicon library preparation and sequencing.*

110 The DNA extraction from all the samples was performed using the ZR Soil Microbe DNA  
111 MiniPrep™ kit (Zymo Research) according to the manufacturer protocol. The DNA was  
112 quantified using Qubit Fluorometric Quantitation (Thermo Fisher Scientific). 12.5 ng of  
113 total DNA was used for PCR of amplicons of the V3-V4 regions of the 16S rRNA  
114 ribosomal gene (Table 2) as described by the Illumina Protocol. All the PCR products were  
115 purified (AMPure XP beads - Illumina products) and quantified (Qubit). Finally, all the  
116 libraries were sequenced by Illumina MiSeq.

#### 117 *Bioinformatics and Statistical analysis*

118 The sequences were analyzed using QIIME version -1.9.1 software (Caporaso et al. 2011) in  
119 Python 2.7. The total sequences were clustered using UCLUST into OTUs tables  
120 (operational taxonomic units) using the Greengene database (GG 13\_8\_otus) as reference  
121 with a range of 97% of similarity and using the closed system with the command  
122 pick\_closed\_reference\_otus.py. Taxonomy summaries including relative abundance data  
123 were generated using summarize\_taxa.py, plot\_taxa\_summary.py and  
124 plot\_taxa\_through\_plots.py commands. In all the cases, we used the data filtering option of  
125 0.01% in abundances because it is reported that filtering data base decreases the estimation  
126 error (Kuczynski et al. 2012; Navas-Molina et al. 2015).

127 Alpha diversity was evaluated using the function of alpha\_rarefaction.py from QIIME, that  
128 calculate alpha diversity on each sample in an OTUs table, using a variety of alpha  
129 diversity metrics as Shannon-Wiener index, Simpson index, Otus\_observed and Chao1  
130 value. Each metrics result were analyzed by ANOVA applying the Tukey-Kramer test (0.95  
131 confidence interval) to estimate significance difference between the samples. Beta diversity  
132 was calculated by beta\_diversity\_through\_plots.py, a workflow script for computing beta

133 diversity distance matrices (UniFrac unweighted method) and generating Principal  
134 coordinates analysis (PCoA) plots from QIIME.

135 The normalized OTUs table (0.01% abundance filter) was used to estimate functional  
136 features present in the samples, using PICRUSt version 1.1.0 (Langille et al. 2013) and the  
137 Greengenes databases 16S\_13\_5 and KO\_13\_5. The OTUs table was normalized to obtain  
138 the metagenomic functional predictions at different hierarchical KEGG levels using  
139 `normalize_metagenomes.py`, `predict_metagenomes.py` and `categorize_by_function.py`  
140 scripts of the same software.

141 For the statistical studies of the functions, we used STAMP (Statistical analysis of  
142 taxonomic and functional profiles version 2.1.3), through ANOVA analysis applying the  
143 Tukey-Kramer test (0.95 confidence interval) to evaluated gene abundance of each  
144 function. R statistical program (version 3.3.3) was used to make plots using “ggplot2” and  
145 “dplyr” libraries.

146

## 147 **Results.**

### 148 *Sample Composition*

149 To determine the microenvironmental conditions that affect the microbial communities and  
150 metabolic characteristics of the Tuba samples, we evaluated the sugars (sucrose, glucose  
151 and fructose), water-soluble proteins, ethanol and acetic acid concentrations as well as the  
152 pH value (Supplementary Table 1S). Tuba P1 was the sample with the highest  
153 concentration in glucose and fructose with 61.4 and 47.3 g/L respectively at 12 hours, 4.7%  
154 (v/v) in ethanol and 6.0 g/L in acetate at 35 hours (Figure 2A). Tuba P2 was the sample  
155 with lowest concentration of monosaccharides at the beginning of the fermentation and  
156 high sucrose concentration (121.7 g/L), however, at the last fermentation time the ethanol  
157 and acetate concentrations were low with 3.5 g/L and 0.6% (v/v) respectively (Figure 2B).  
158 Tuba P3 showed the highest concentration of ethanol (5% v/v) at the end of the  
159 fermentation, nevertheless, the glucose and fructose concentration were 39.8 and 29.1 g/L  
160 respectively at 12 hours (Figure 2C). The pH values in Tuba P1, P2 and P3 decreased from  
161 3.7 to 2.8 during the fermentation process. The water-soluble protein concentration of the  
162 Tuba samples showed low values from 0.006 to 0.01 g/L. In the case of the commercial  
163 samples, all of them presented different composition values, nevertheless they had an  
164 average values of 40.5 g/L of sucrose, 40.0 g/L of glucose, 42.53 g/L of fructose, 1.6 g/L of  
165 acetic acid, 0.1% (v/v) of ethanol and a pH of 4 (Figure 2D).

### 166 *Taxonomic classification.*

167 A total of 302,398 sequences were obtained from the Tuba amplicon libraries, with an  
168 average of 75,594 sequences per Tuba fermented under controlled conditions (distributed as  
169 follows, for the Tuba P1 74,860 reads were obtained; for the Tuba P2, 75,623; for the Tuba  
170 P3 76,298) and the four commercial samples had an average of 75,617 sequences. A total  
171 of 123 OTUs were detected in all Tuba samples. However, filtering data base with 0.01%

172 relative abundance filter, the OTUs were reduced to 28 as the more abundance. The  
173 taxonomic identification was elaborated using the last filter mentioned, which demonstrates  
174 the 10 most representative genera of the 16 Tuba samples (Figure 3). The genera that  
175 predominate in all the samples were *Fructobacillus*, *Leuconostoc*, *Gluconacetobacter*,  
176 *Sphingomonas*, *Vibrio* and some genera of the Enterobacteriaceae family. Additionally,  
177 analyzing the Enterobacteriaceae populations with the lower abundance we found genera as  
178 *Erwinia*, *Klebsiella*, *Serratia* and *Cronobacter* (Supplementary Figure 1S). The population  
179 dynamic had a similar trend in Tuba fermented in controlled conditions but with different  
180 percentage in the abundances; we observed an increase of lactic acid bacteria (LABs) until  
181 24 h, acetic acid bacteria (AABs) and some proteobacteria as *Sphingomonas* through the  
182 fermentation time and a decrease of *Vibrio* genus (Figure 3).

### 183 *Diversity analysis.*

184 Alpha diversity tests were performed using the OUTs table obtained with the 0.01 % filter  
185 and grouped according to the origin of the sample. We observed a similar behavior in all  
186 the four analysis, that means, no matter what base-priority was in the analysis as richness  
187 (observed\_otus), dominance (Simpson), equity (Shannon index) or singletons (Chao1  
188 value) it did not affect diversity results (Supplementary Figure 2S). Tuba P1 was the most  
189 diverse with the highest values in the four diversity index, then Tuba P3 and commercial  
190 Tuba samples had similar index values, and finally Tuba P2 was the least diverse with the  
191 lowest values. After of ANOVA statistical analysis, we found that in Chao1 and  
192 Observed\_otus tests Tuba P2 was the only showing significant difference. Nevertheless, in  
193 Shannon and Simpson index the four groups showed significant difference among each  
194 other (Table 3).

195 Beta diversity with Unweighted UniFrac distance was determined using the 0.01% filter.  
196 We did not observe groupings by fermentation time (Figure 4A) however, a grouping was  
197 observed by origin of the samples (Figure 4B). In the graphic of origin of the sample we  
198 also observe a grouping by quadrant of the all the Tuba samples, however Tuba P2 showed  
199 the greatest dispersion in the data, which indicated a big difference between the  
200 fermentation times in Tuba P2. Similar effect is observed in Tuba P1 where two  
201 fermentation times (0 h and 35 h) show similar beta diversity values compared to  
202 commercial samples and Tuba P3. Otherwise, the samples, which were in the same  
203 quadrant as Tuba P3 and the commercial Tuba, were considered strongly related (Figure 4).

### 204 *Functional inference*

205 After diversity distribution analysis we sought to understand the functionality of the  
206 bacterial community in Tuba fermentation, therefore we used PICRUSt algorithm to predict  
207 the metagenomic profiles of the samples. Initially, we obtained functional characteristics of  
208 the 3 KEGG levels (Level 1: general cellular functions, Level 2: Specific functions i.e.  
209 different metabolism, and Level 3: Specific pathway associate with specific function)  
210 (<http://www.genome.ad.jp/kegg/>). We limited our analysis to the level 3 and we discarded  
211 elementary cellular functions such as replication, translation, and functions associated with  
212 human diseases (cancer) or poorly characterized functions, to analyze specific genes related

213 with functions of biotechnological relevance. Considering the 328 registered functions on  
214 KEGG, we found the 19 most abundant functions associated with carbohydrates metabolic  
215 process, vitamins, amino acid, antibiotics and antioxidant molecules biosynthesis (Figure  
216 5), this suggested that the production of those compounds may be taking place during Tuba  
217 fermentation. After an ANOVA test, we found functions without significant difference as  
218 the carotenoid biosynthesis (Figure 6A), this means that no matter what is the sample  
219 origin, this function may have present at the same gene abundance in the four groups.  
220 Otherwise, there were functions with significant difference, such as peptidases biosynthesis  
221 that had more gene abundance in Tuba P2 samples (Figure 6B). Each sample had more  
222 abundance in genes associated with a specific function, for example, antioxidant, antibiotic  
223 compounds, and folate biosynthesis in Tuba P3, lipopolysaccharide biosynthesis and  
224 Lysine genes in Tuba P1, finally the 4 commercial Tuba samples may have bacteria with  
225 genes associated mainly with folate biosynthesis and peptidases. Our study allowed to  
226 analyze if some of bacterial genera found in Tuba may had gene associated with enzymes  
227 of carotenoid biosynthesis, we observed that *Sphingomonas* and *Gluconacetobacter* had  
228 more abundance percentage in the enzyme *15-cis-phytoene synthase* (Figure 7).

229

## 230 **Discussion.**

231 In the present study, we carried out for the first time the identification of bacterial diversity,  
232 the fermentation dynamics in terms of bacterial populations and metabolic changes during  
233 Tuba fermentations comparing between laboratory controlled conditions and commercial  
234 samples. This comparison was realized by a combination of metabolic analysis and 16S  
235 amplicon sequencing during the Tuba fermentation, as well as to infer functions of  
236 biotechnological interest that the Tuba may present during the fermentative process.

237 We found that the average of total sugar concentration in sap of the palm trees in the Tuba  
238 samples was 130 g/L. Where it contained 77.06% of sucrose, 12.81% of glucose and  
239 10.15% of fructose, without presence of xylose. In a study with sap of *Phoenix dactylifera*  
240 was reported that it contained 95.27 % of sucrose, 2.51% glucose and 1.61% of fructose  
241 with a neutral pH of 7-7.4 (Santiago-Urbina & Ruíz-Terán 2014). These results suggested  
242 that the sap composition is dependent of the palm type. The concentration of sucrose from  
243 the sap samples at the start of the laboratory controlled fermentation was high, from 85 g/L  
244 to 121 g/L (Supplementary Table 1S). Then, after 12 hours of fermentation for Tuba P1 and  
245 P3, and after 24 hours for Tuba P2 the concentration of sucrose was reduced, increasing the  
246 concentration of glucose and fructose, presumably by invertase-mediated hydrolysis. The  
247 different behavior of Tuba P2 sample may be related with low sucrose hydrolysis, delaying  
248 the fermentation process. This may be caused by a lower yeast abundance than other  
249 samples, the yeast abundance was not measured in this study, but we did not identify any  
250 ethanologenic bacteria, then we may attribute all the ethanol production to yeasts present in  
251 the samples. Also, the high concentration of sucrose may also cause retro-inhibition of the  
252 invertase enzyme, and the pH values may reduce its catalytic activity (Hsieh et al. 2006;  
253 Goosen et al. 2007). Hence, we can only propose that the hydrolysis of sucrose is

254 associated with the presence of *Fructobacillus* and *Leuconostoc* genera, because those  
255 microorganism present the genes that codes for the invertase  $\beta$ -fructofuranosidase  
256 (Supplementary Figure 3S), a further study is needed to show yeast abundance in Tuba  
257 samples and its implication in sucrose hydrolysis.

258 In the laboratory controlled fermentations we observed near complete sucrose hydrolysis  
259 and lower ethanol production compared to other fermented beverages such as pulque.  
260 Pulque shown an absence of sucrose hydrolysis, high ethanol concentration and a high  
261 abundance of ethanolic bacteria such as *Zymomonas mobilis* (Escalante et al. 2008), that  
262 genus was not found in our work. Thus, these results suggest that the composition of the  
263 bacterial community in Tuba play an important role in the hydrolysis of sucrose at the start  
264 of the fermentation. These characteristics are related with the bacterial diversity, because  
265 several bacterial genera present in the sap has different metabolism and regulation types  
266 that in consequence may inhibit or delay the fermentative process (Tamang et al., 2016).

267 We found the 10 more abundant bacterial genera that belong to three main groups, lactic  
268 acid bacteria (LABs), acetic acid bacteria (AABs) and proteobacteria (Figure 3). It has been  
269 reported that LABs are the main antibiotic and folate producers in fermented products (De  
270 la Fuente-Salcido et al. 2015; Rossi et al. 2011) both functions have an important impact on  
271 human health. Moreover, some LABs reported in here such as *Fructobacillus* and  
272 *Leuconostoc* genera are similar phylogenetic and metabolically, nevertheless,  
273 *Fructobacillus* is unable to produce ethanol, redirecting the carbon flow to the production  
274 of lactate, (Endo et al. 2015). Other genera found was *Lactococcus* that produce more  
275 lactate than ethanol (Makarova et al. 2006).

276 The acetate production is related with the abundance of AABs such as *Gluconacetobacter*  
277 and *Acetobacter* genera that was found in all samples. Interestingly, sample P1 showed the  
278 higher abundances of *Acetobacter*, which contributed with the acetate and ethanol  
279 production in comparison with the Tuba P2 and P3. Nevertheless, we observed a smaller  
280 abundance of the AABs in the commercial samples; contributing with a lower acetate and  
281 ethanol concentrations with respect to the laboratory fermented samples. This result is in  
282 agreement with other studies, where the authors propose that the growth of the AABs of the  
283 *Gluconacetobacter* and *Acetobacter* genera is dependent on the presence of acetate and  
284 ethanol in the environment (Lisdianti et al. 2003). Other researches have established these  
285 genera as the main acetate producers in products from fruit fermentation (Dellaglio et al.  
286 2017).

287 Both *Vibrio* genera and Enterobacteriaceae family (both proteobacterias) were detected in  
288 all the analyzed Tuba samples. *Vibrio* have been reported as a "natural" pollutant of  
289 fermented products (Lee et al. 2015). The abundances of these bacterial groups was  
290 reduced through the Tuba fermentation process, this abundance in commercial Tuba  
291 samples was similar with the abundance to the initial fermentation points. Finally, we  
292 observed a relation between the increase of the abundance of LABs and the decrease of  
293 Enterobacteriaceae family. It has been shown that the secretion of peptidases by LAB and  
294 AAB limits the cell growth of pathogen such as *Vibrio* (De la Fuente-Salcido et al. 2015;



295 Lee et al. 2015). Hence, in this case the limitation of the growth of some proteobacteria in  
296 the Tuba, may be caused by compounds produced by the bacterial community (such as the  
297 peptidases).

298 The alpha diversity tests, showed that in Chao1 and Observed\_otus the Tuba P2 had  
299 significant difference but with Shannon and Simpsons index all Tuba samples (P1, P2, P3  
300 and commercial) showed significant difference. That difference was due to the focus of  
301 each test, Observed\_otus and Chao1 had low values for Tuba P2 that means low number of  
302 bacterial genus and high dominance. Although, Shannon and Simpson index analyzed the  
303 abundance and equity of the population, which means that the four Tuba groups have the  
304 same 10 genera but in different abundance (Figure 3). The low values of Tuba P2 in alpha  
305 tests may have related to high concentrations of sucrose and low acetate and ethanol. In a  
306 study of the bacterial diversity in pulque was established that the diversity is strongly  
307 correlated with ethanolic fermentation conditions and aguamiel and pulque composition  
308 (Escalante et al. 2008). The microbial beta diversity data showed no significant differences  
309 between the samples of each palm. Hence, the 10 most abundant genera of the 16 analyzed  
310 samples were associated with the origin of the samples. In some studies, the biotic and  
311 abiotic conditions (seasonality, plant physiology, age, soil conditions, and other abiotic  
312 variables such as water irrigation and other environmental factors) affected the bacterial  
313 diversity at different times of the fermentation (Staley et al. 2014; Fonseca-García et al.  
314 2016; Coleman-Derr et al. 2016). Hence, we propose that the sugar concentration and the  
315 pH of the Tuba, has an effect on the bacterial diversity of this beverage, contributing to  
316 define the metabolic composition and the dominant bacterial genera. Additionally, the sap  
317 samples were collected after it was harvested by the producer and we took all the  
318 precautions to conserve the initial bacterial community and took it to the laboratory for  
319 fermentation (all handling was done in aseptic conditions). Therefore the observed  
320 differences in bacterial diversity in the samples is a combination of the palm related abiotic  
321 variables and the harvesting procedure itself.

322 Palm wine is consumed in many places in the world, the Tuba type that is the subject of this  
323 study has its own characteristics. It is produced near coconut palm production sites in the  
324 Mexican south pacific coast and is consumed as refreshing, hydration drink and empirically  
325 used as traditional aid for gastrointestinal discomfort, here we are showing its low alcohol  
326 content, however it can reach higher concentrations if fermented for longer time  
327 (Velázquez-Monreal et al. 2011). In this study, the functional analysis of the Tuba P1, P2,  
328 P3 and commercial samples using PICRUSt showed 18 functions of biotechnological  
329 interest (Figure 6), some of them showed significant differences as folate biosynthesis,  
330 antibiotic production and peptidases. Other functions were present on all Tuba samples  
331 without a significant difference among them such as terpene and carotenoid biosynthesis.  
332 These functions have been described in other fermented beverages such as pulque, where  
333 they proposed it as a functional product because it has mainly prebiotic and probiotic action  
334 with antimicrobial activity and production of nutrients (Escalante et al. 2016). In other  
335 study *Cocos nucifera* L. (Palmacea) water (CW), variety Chandrasankara, was tested for its  
336 ability to scavenge free radicals, and they found a good antioxidant activity percentage

337 (Mantena et al. 2003). Beverages made from plants, seeds or fruits have high contents of  
338 phenolic compounds that have the capacity to stabilize reactive oxygen and nitrogen  
339 species (Richelle et al. 2001), especially red, pink and white color fermented beverages  
340 (Martins de Sá et al. 2014). In addition, as we found in this work (Figure 7), microbial  
341 communities may be able to produce antioxidant compounds, there are evidence that  
342 described LABs genera as antioxidant compound producers, mainly glutathione, folate and  
343 butyrate (Wang et al. 2017). Other studies reported bacteria that produce antioxidant  
344 compound but it was not been identified yet (Tabbene et al. 2010), or it is a pigment  
345 produced in specific conditions by the bacteria (Radhakrishnan et al. 2016).

346 In this work we reported for the first time the bacterial diversity and potential functional  
347 analysis through the fermentation process of the Tuba. With the knowledge of microbiota  
348 diversity and metabolic functional inference, the Tuba production can be controlled  
349 adjusting the presence and abundance of beneficial genera that contributes with the  
350 functional characteristics of the Tuba. It also contributed to the stablishing of  
351 microbiological basis of its empirical uses. Additionally, the bacterial isolation from these  
352 samples may provide us with new species with probiotic potential.

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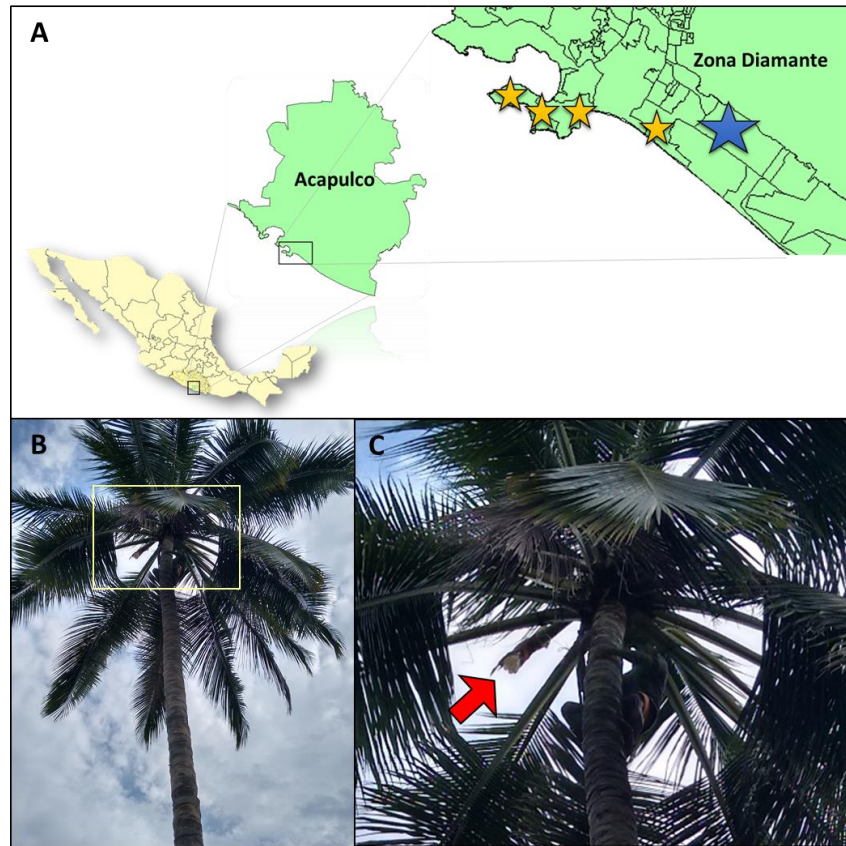
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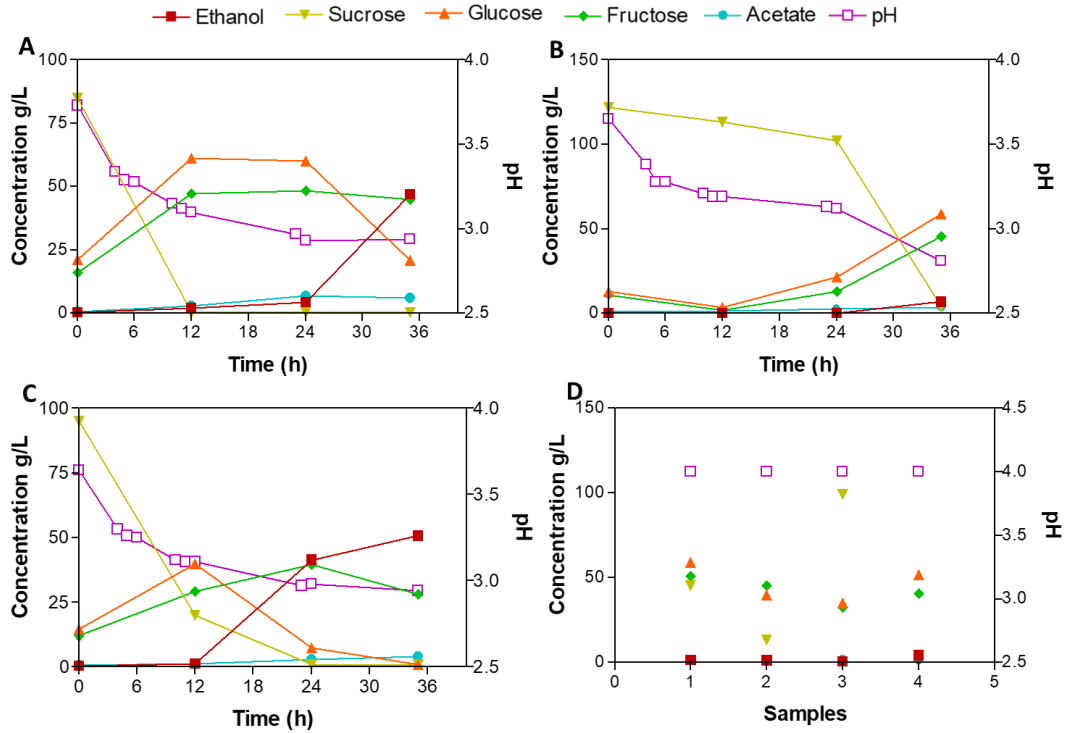
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451 **Figures.**



452

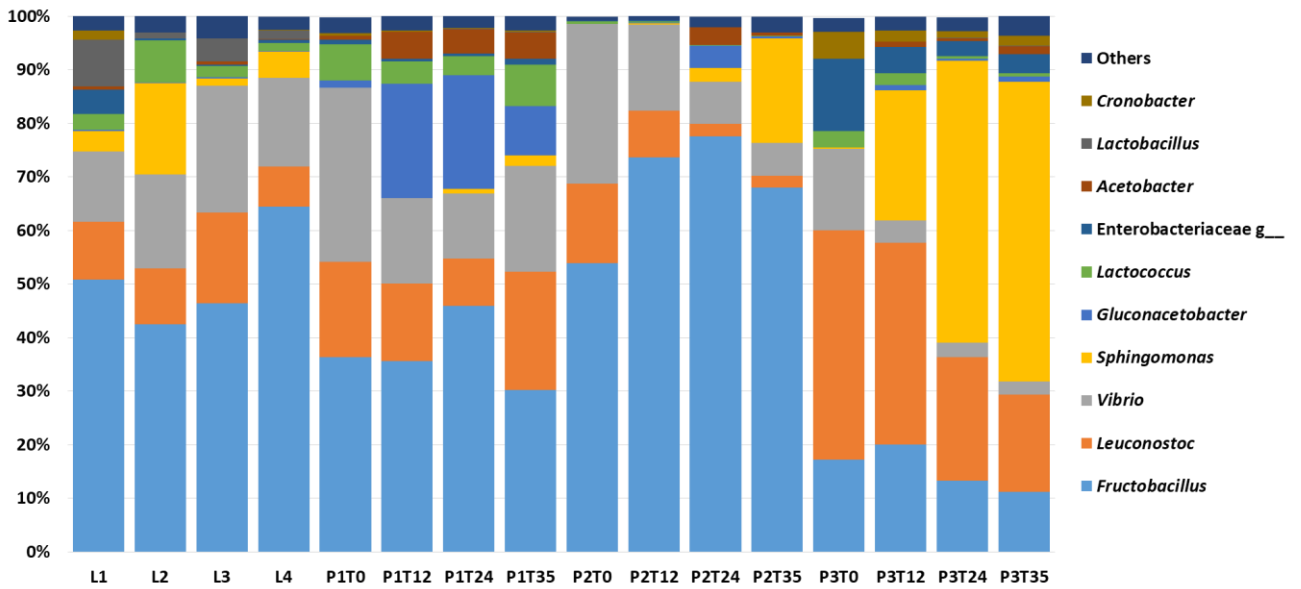
453 **Figure 1. Sample collection.** A) Sampling sites. The yellow stars represent the location of  
454 the four commercial establishments (commercial samples) and the blue star show the area  
455 where sap samples for the laboratory controlled fermentation were obtained. B) *Cocos*  
456 *nucifera* L (palm tree). Yellow square signaling sap collection zone. C) Sap collection zone.  
457 Red arrow indicate the palm structure (inflorescence) where the sap is collected.



458

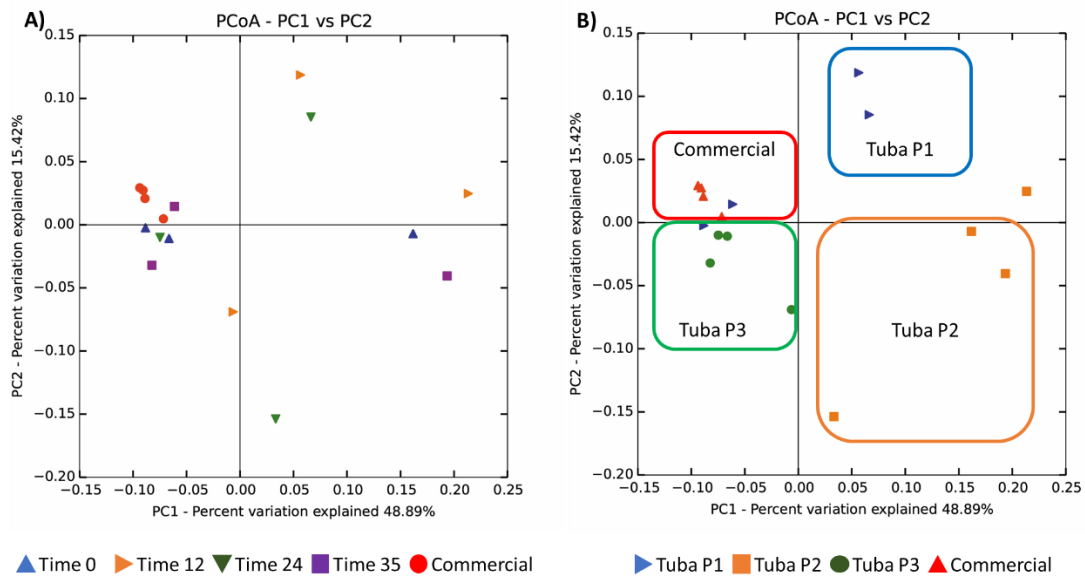
459 **Figure 2. Metabolic composition of the laboratory fermented Tuba and commercial**  
 460 **Tuba.** A) Tuba P1, B) Tuba P2, C) Tuba P3 and D) Commercial Tuba samples. Each  
 461 number correspond to one sample. Right axis represented pH value.

462



463

464 **Figure 3. Taxonomic identification.** The graph represented the top ten of genera using  
 465 0.01% abundance filter OTUs table.



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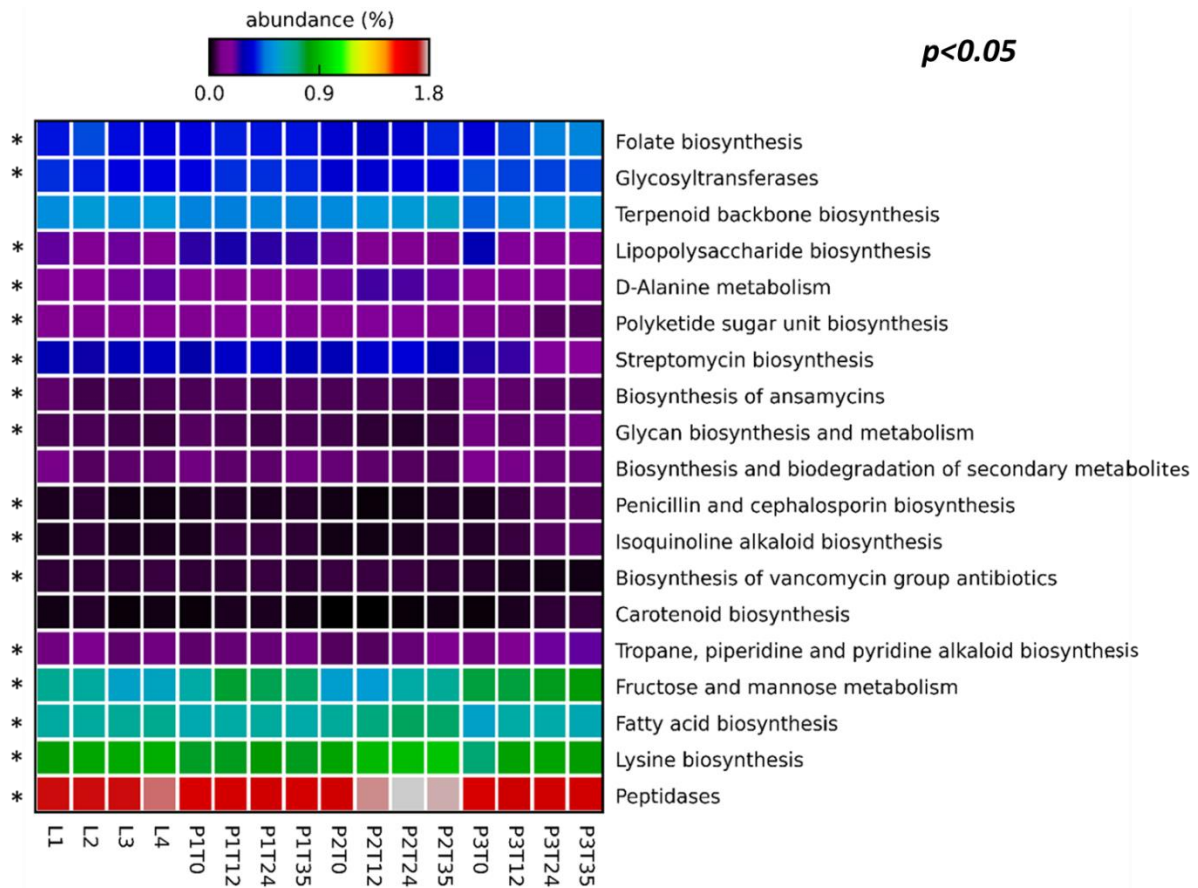
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**Figure 4. Beta diversity.** A) Associate with respect to the fermentation time. B) Associate with respect to the origin of the sample. Analysis performed by the Unifrac unweighted technique with 0.01% abundance filter and plotted with the Principal Coordinates Analysis (PCoA). The color boxes show a grouping data.

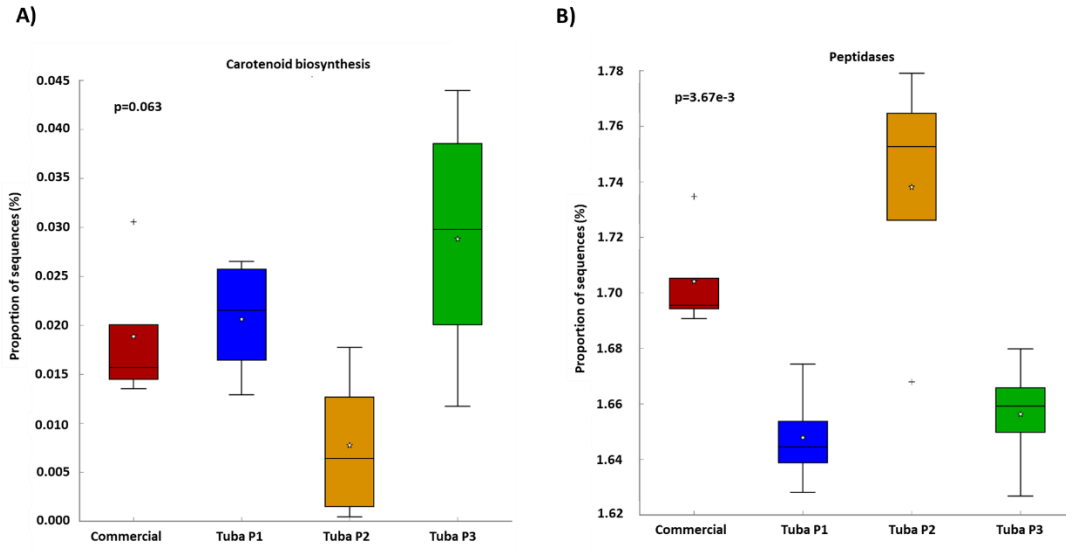




472

473 **Figure 5. Abundance of sequences associate with functions.** An ANOVA was performed  
 474 with Tukey-Kramer (0.95), the percentage of genes associated with functions, discarding  
 475 elementary cellular functions. Asterisk show functions with significant difference ( $p < 0.05$ ).

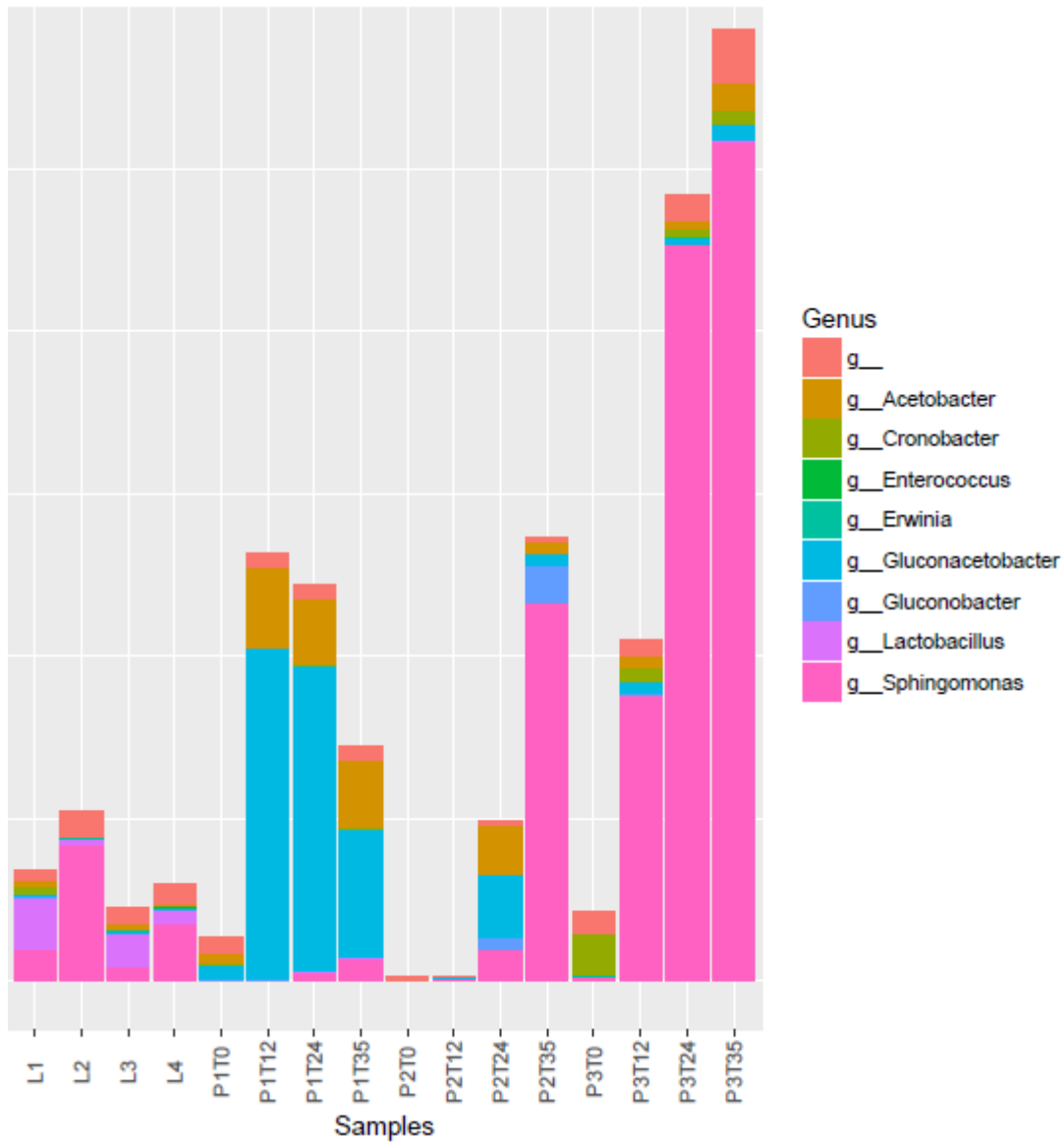
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478 **Figure 6. Box plot of two functions of interest.** With A) not significant difference and B)  
 479 significant difference. An ANOVA was performed with Tukey-Kramer (0.95) and plotted  
 480 with STAMP.

481



482

483 **Figure 7. Main bacteria with 15-cis-phytoene synthase gene (K02291 KEGG code).**

484 Analysis performed with the function “metagenome\_contributions.py” obtained by  
 485 PICRUSt analysis and plotted with R studio.

486

487

488 **Tables.**

489 **Table 1. Climatological conditions of the study sites.**

PARAMETER	PALM SAP COLLECT	COMMERCIAL COLLECT
<b>Date</b>	14/07/2016	16/08/2016
<b>Coordinates</b>	North 16 ° 46'54.53 " West 99 ° 47'02.73 "	
<b>Altitude</b>	12	
<b>Temperature</b>	Max. 32°C y Min. 24°C	Max. 30°C y Min. 24°C
<b>Humidity</b>	87%	89%
<b>Pressure</b>	0.996 atm	0.996 atm
<b>Weather</b>	Light rain	Light rain

490 *Data provided by Comisión Nacional del Agua (CONAGUA).*

491

492 **Table 2. PCR primers targeting 16S rRNA V3-V4 region of bacteria**

<b>Amplicon size:</b>	550 bp
<b>Forward</b>	5'- TCGTCGGCAGCGTCAGATGTGTATAAGAGACAGCCTACGGGN GGCWGCAG-3'
<b>Reverse</b>	5' GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGGACTACHV GGGTATCTAATCC-3'.

493

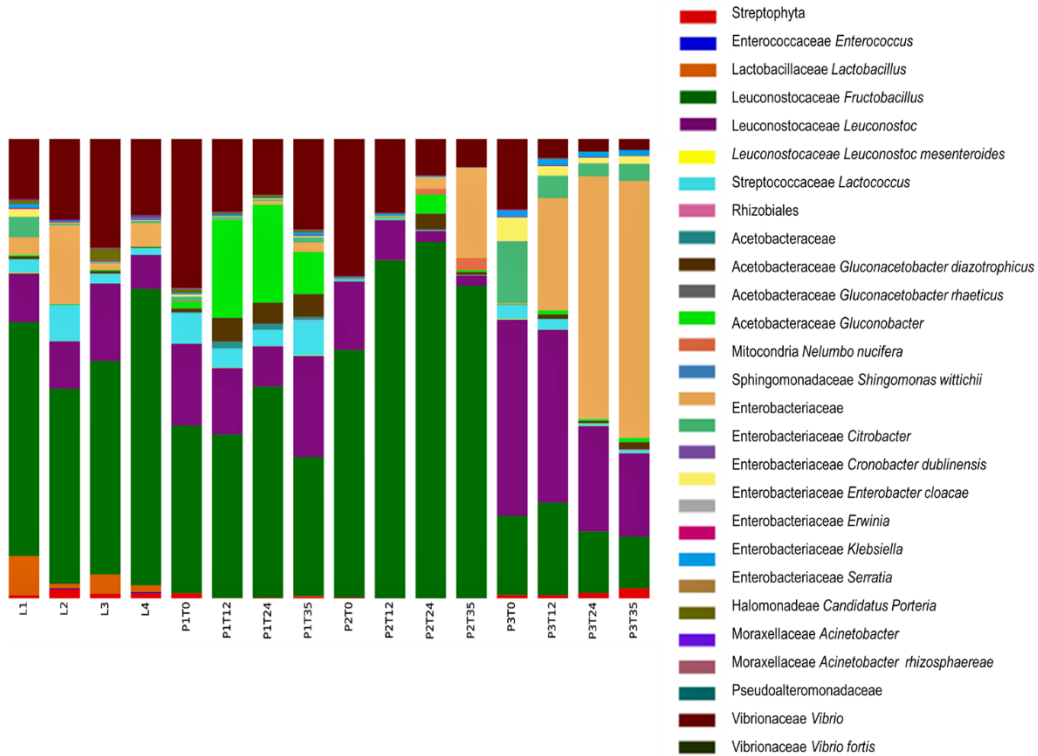
494 **Table 3. Alpha diversity. Asterisk show significant difference with  $p < 0.05$ .**

Alpha diversity metrics/Sample	Chao1 index	Observed_otus	Shannon index	Simpson index
<b>Commercial Tuba</b>	49.240 ± 3.758	42.600 ± 5.609	2.619 ± 0.006*	0.692 ± 0.002*
<b>Tuba P1</b>	49.715 ± 5.307	42.508 ± 6.621	2.876 ± 0.010*	0.781 ± 0.001*
<b>Tuba P2</b>	35.863 ± 4.654*	26.588 ± 5.235*	1.595 ± 0.011*	0.491 ± 0.002*
<b>Tuba P3</b>	49.559 ± 5.305	41.229 ± 7.006	2.468 ± 0.014*	0.704 ± 0.001*

495

496

497 **Supplementary material.**

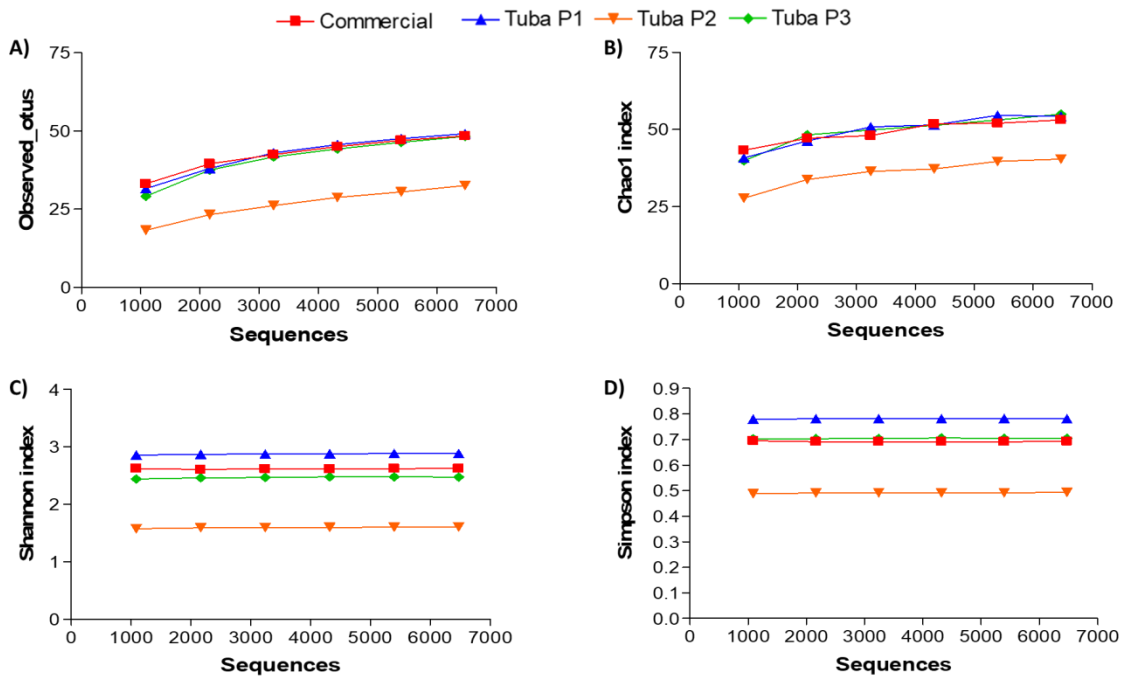


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499

500 **Figure 1S. Taxonomic identification.** Most abundant OTU's using the 0.01% abundance  
 501 filter OTUs table.

502



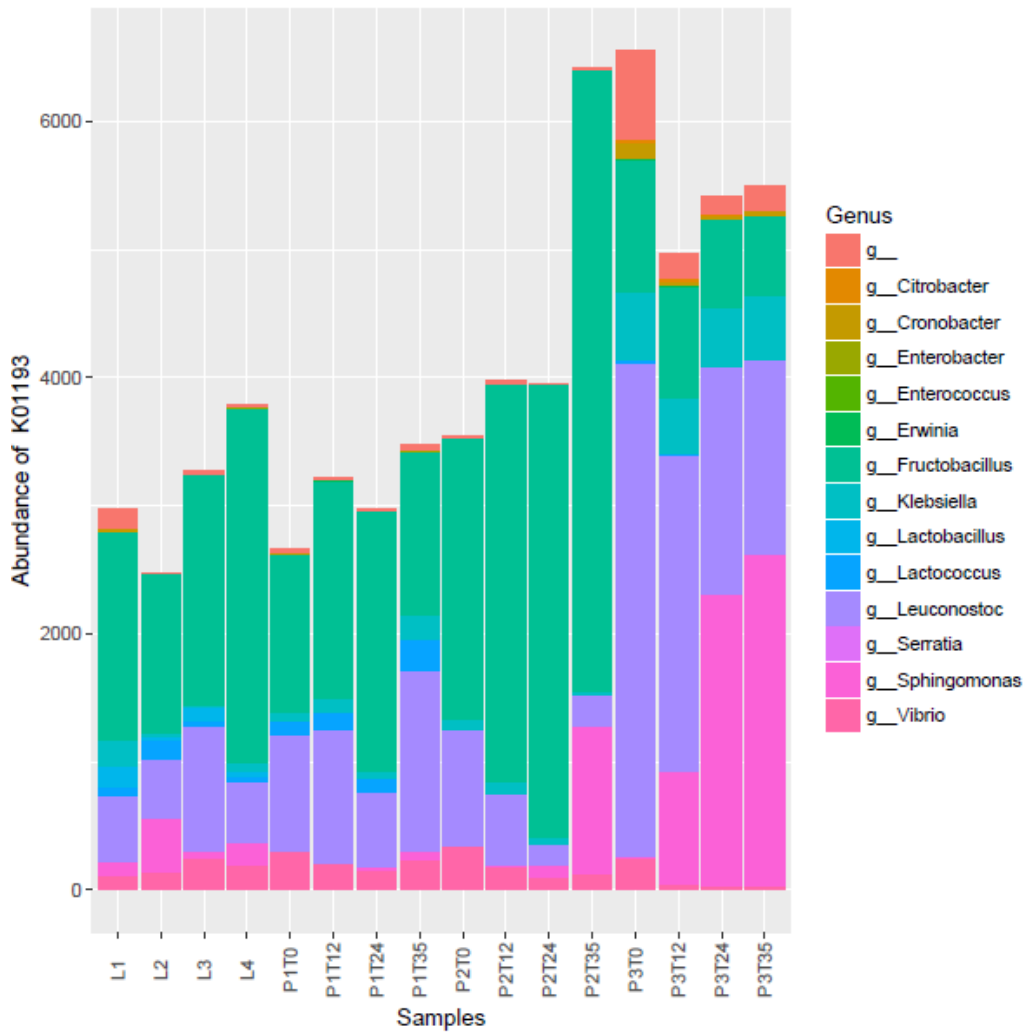
503

504 **Figure 2S. Alpha diversity rarefaction plots with 0.01%.** A) Observed\_otus, B) Chao1,

505 C) Shannon and D) Simpson. Each population is represented for a specific color in all the

506 graphics.

507



508

509 **Figure 3S. Abundance of invertase gene (K01193).** Analysis performed with the function  
 510 “metagenome\_contributions.py” obtained by PICRUSt analysis and plotted with R studio.

511

512 **Table 1S. Chemical composition of the Tuba.**

	<b>Tuba P1</b>	<b>Tuba P2</b>	<b>Tuba P3</b>	<b>Commercial L1</b>	<b>Commercial L2</b>	<b>Commercial L3</b>	<b>Commercial L4</b>
<b>Time (h)</b>				<b>Proteins (g/L)</b>			
0	0.0068	0.0115	0.0097				
12	0.0094	0.0038	0.0109	0.0087	0.0173	0.0166	0.0054
24	0.0057	0.0084	0.0046				
35	0.0082	0.0147	0.0042				
<b>Time (h)</b>				<b>Sucrose (g/L)</b>			
0	85.1478	121.7608	95.2033				
12	0.3932	113.2938	19.8372	45.3103	13.4554	99.2911	4.3160
24	0.3748	102.1780	0.8837				
35	0.2629	4.2062	0.7476				
<b>Time (h)</b>				<b>Glucose (g/L)</b>			
0	21.1467	13.1798	14.6654				
12	61.4149	3.4526	39.8145	59.2407	39.8149	35.0787	51.8465
24	60.1442	21.6494	7.2646				
35	21.0363	59.0502	0.8876				
<b>Time (h)</b>				<b>Fructose (g/L)</b>			
0	16.0169	11.0782	11.9225				
12	47.3585	1.9186	29.1776	50.8742	45.5284	32.7653	40.9536
24	48.5620	13.0289	39.7342				
35	44.8364	45.6336	28.1220				
<b>Time (h)</b>				<b>Acetate (g/L)</b>			
0	0.6867	0.8935	0.6452				
12	3.0672	1.4066	1.1047	1.5209	1.6449	1.5271	1.9845
24	6.9141	2.7640	2.8354				
35	6.0741	3.5594	3.9577				
<b>Time (h)</b>				<b>Ethanol (g/L)</b>			
0	0.4116	0.1669	0.2528				
12	2.2396	0.0000	1.1756	1.5176	1.4198	0.7016	4.4201
24	4.4278	0.0000	41.3655				
35	47.1141	6.8062	50.6929				
<b>Time (h)</b>				<b>pH</b>			
0	3.73	3.65	3.64				
4	3.34	3.38	3.3				
5	3.29	3.28	3.26				
6	3.28	3.28	3.25				
10	3.15	3.21	3.12	4.0	4.0	4.0	4.0
11	3.12	3.19	3.11				
12	3.1	3.19	3.11				
23	2.97	3.13	2.97				
24	2.93	3.12	2.98				
35	2.94	2.81	2.94				

513